

Attorney Docket No.: YOR919990588US2

UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant(s):** Rudolf Maarten Bolle  
Chitra Dorai  
Nalini K. Ratha

**Docket No.:** YOR919990588US2

**Serial No.:** Unassigned

**Filing Date:** Concurrently Herewith

**Title:** SYSTEM AND METHOD FOR DISTORTION CHARACTERIZATION IN  
FINGERPRINT AND PALM-PRINT IMAGE SEQUENCES AND USING  
THIS DISTORTION AS A BEHAVIORAL BIOMETRICS

INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, Applicant's attorney wishes to bring to the attention of the Patent and Trademark Office the following document(s) listed on the accompanying PTO Form 1449. Copies of non-patent documents can be provided upon Examiner's request.

U.S. Patent Documents

1. U.S. Patent No. 5,933,515
2. U.S. Patent No. 6,323,846
3. U.S. Patent No. 6,388,657
4. U.S. Patent No. 6,466,686
5. U.S. Patent No. 6,487,306

Other Documents

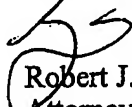
6. Bergen et al., "Hierarchical Model-Based Motion Estimation," pgs. 237, 251 (May 1992).
7. Dorai et al., "Extracting Motion Annotations from MPEG-2 Compressed Video for HDTV Content Management Applications," International Conference on Multimedia Computing and Systems, June 7-11, 1999, Florence Italy, IEEE Multimedia Systems '99, Vol. 1, pgs. 673-678 (1999).

8. Haskell et al., "Digital Video: An Introduction to MPEG-2," Motion Compensation Modes in MPEG, Chapter 7, pgs. 146-155 (1997).
9. Nalwa, V.S., "Automatic On-Line Signature Verification," Proceedings of the IEEE, Vol. 85, No. 2, pgs. 215-239 (February 1997).
10. Ratha et al., "Adaptive Flow Orientation-Based Feature Extraction in Fingerprint Images," Pattern Recognition, Vol. 28, No. 11, pgs. 1657-1672 (1995).
11. Ratha et al., "A Real-Time Matching System for Large Fingerprint Database," IEEE Transactions on Pattern Analysis and Machine Intelligence, Vol. 18, No. 8, pgs. 799-813 (1996).

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or as an admission that the information cited is considered to be material to patentability or that no other material information exists.

Date: September 3, 2003

Respectfully submitted,

  
Robert J. Mauri  
Attorney for Applicants  
Reg. No. 41,180  
Ryan, Mason & Lewis, LLP  
1300 Post Road, Suite 205  
Fairfield, CT 06824  
(203) 255-6560

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Group: Unassigned

# LIST OF PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

## U.S. PATENT DOCUMENTS

EXAMINER	DOCUMENT NO.	DATE	NAME	CLASS/SUBCLASS	FILING DATE IF APPROPRIATE
INITIAL					
	5,933,515	08/03/99	Pu et al.		
	6,323,846	11/01	Westerman et al.	345/173	
	6,388,657	05/02	Natoli et al.	345/168	
	6,466,686	10/02	Senior, Andrew William	382/124	
	6,487,306	11/02	Jain et al.	382/125	

## FOREIGN PATENT DOCUMENTS

EXAMINER	DOCUMENT NO.	DATE	COUNTRY	CLASS/SUBCLASS	TRANSLATION YES NO
INITIAL					

## OTHER DOCUMENTS

EXAMINER	REF NO.	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.
INITIAL		

Bergen et al., "Hierarchical Model-Based Motion Estimation," pgs. 237, 251 (May 1992).

Dorai et al., "Extracting Motion Annotations from MPEG-2 Compressed Video for HDTV Content Management Applications," International Conference on Multimedia Computing and Systems, June 7-11, 1999, Florence Italy, IEEE Multimedia Systems '99, Vol. 1, pgs. 673-678 (1999).

Haskell et al., "Digital Video: An Introduction to MPEG-2," Motion Compensation Modes in MPEG, Chapter 7, pgs. 146-155 (1997).

Nalwa, V.S., "Automatic On-Line Signature Verification," Proceedings of the IEEE, Vol. 85, No. 2, pgs. 215-239 (February 1997).

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Examiner

Date Considered

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

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